

# Medicare Cost Report Download

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## Objectives

- Review where to find the Medicare cost report data files
- Understand what is contained within each download
- Understand the definitions used to create each download
- Identify which resource documents are necessary to download and set up the data files.
- Understand the relationship between the data files.

#### Where do I find the data?

- Cost Reports can be downloaded from the CMS
   Cost Reports website
  - http://www.cms.gov/Research-Statistics-Data-and-Systems/Files-for-Order/CostReports/index.html
  - Go to "Cost Reports by Fiscal Year" link for HHAs, Hospitals, and SNFs
  - Go to the individual provider cost report page for Renal Facilities, Hospices, and Rural Health Clinics
- Resource documents are found on the respective provider cost report page

Where do I find the data?

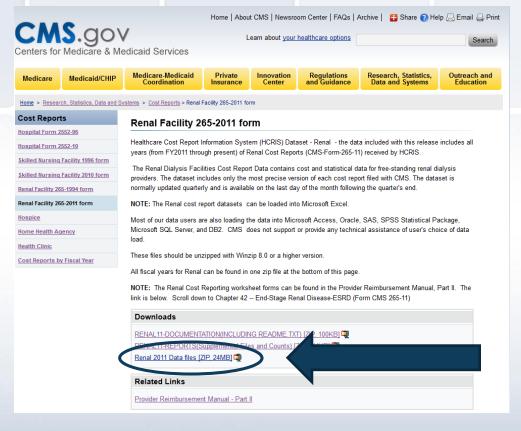
Cost Reports can be downloaded from the CMS
 Cost Reports by Fiscal Year website for HHAs,
 Hospitals, and SNFs

www.cm	s.gov/Research-Statistic	s-Data-and-Systems/Files-for	-Order/CostReport	ts/Cost-Reports-by	-Fiscal-Year.html 🥎	7 ♥ ℃				
Research Data A	ssistan 🦳 RRTS 👑	Centers for Medicare 8	Google Calendar	ResDAC - Powe	ered by NetFile	s - Documen				
	S.go\ Medicare & Me	dicaid Services	L	earn about <u>your h</u>	ealthcare options					
Medicare	Medicaid/CHIP	Medicare-Medicaid Coordination	Insurance Oversight	Innovation Center	Regulations and Guidance	Researd Data a				
Home > Resear	ch, Statistics, Data and Sy	vstems > Cost Reports > Cost R	eports by Fiscal Ye	ar						
Cost Report	ts	Cost Reports by	/ Fiscal Ye	ar						
Hospital Form 2	<u> 552-96</u>									
Hospital Form 2	552-10	Downloads which include all fiscal years for the Renal Facility and Hospice Cost Reports c respective pages.								
Skilled Nursing	Facility 1996 form	respective pages.								
Skilled Nursing	Facility 2010 form	Show entries: 10 🔻								
Renal Facility		Filter On								
Hospice		Filter On:								
Home Health Ad	gency	Fiscal Year A		E	acility Type 💠					
Health Clinic		1994		H	HHA					
Cost Reports b	y Fiscal Year	1995		Hospital						
		1995			VF					
		1995		HHA						
		1996		HHA						
		1330			I/A					



#### Where do I find the data?

 For Renal Facilities, Hospices, and Rural Health Clinics, go to the provider's cost report page.





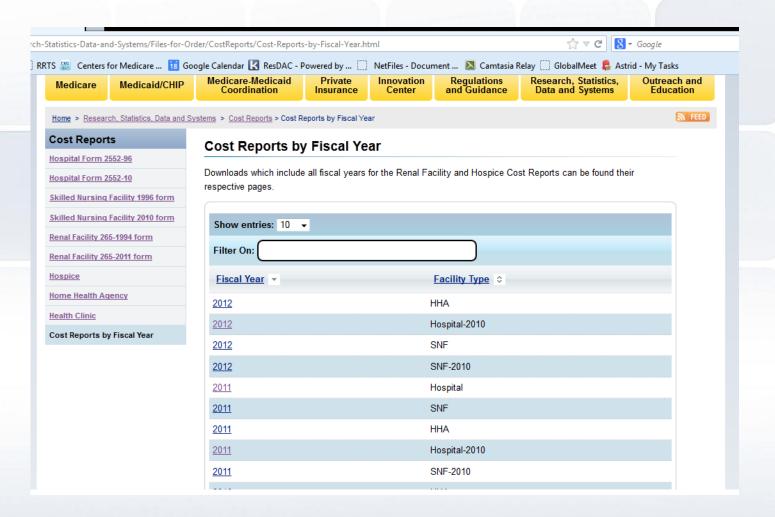
- The data are in the Healthcare Cost Reporting Information Systems database (HCRIS)
- CMS will update the data on a quarterly basis
- The entire database is overwritten each quarter with the latest information
- The number of years available will vary depending on the facility
- Usually takes about 18 months to have a complete FY, so FY 2012 data may not be 100% complete.

- The data are provided in a relational database format.
- Three files contain all the variables submitted on the cost reports
  - RPT contains information about the cost report submitted
  - ALPHA contains all character variables
  - NMRC contains all numeric variables
- Need to know where the variable resides within the forms to identify it in the data.



## CMS Cost Report Download Page

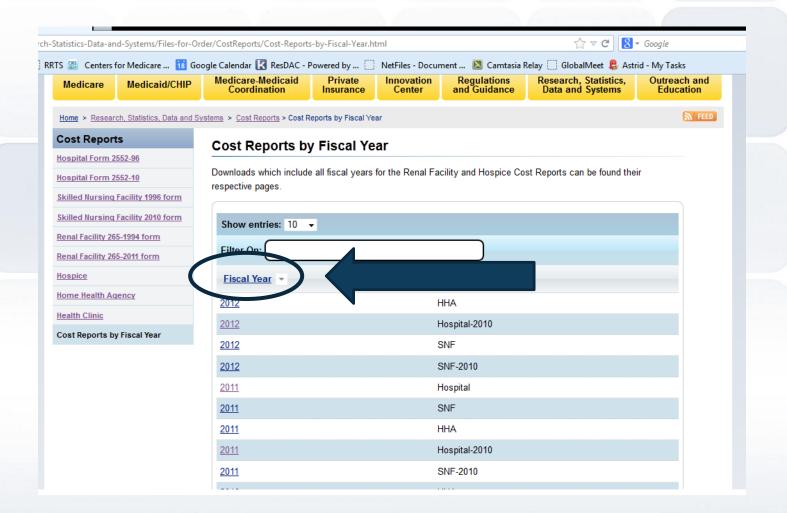
http://www.cms.gov/Research-Statistics-Data-and-Systems/Files-for-Order/CostReports/Cost-Reports-by-Fiscal-Year.html



## Download Page

- Includes the cost report downloads for most of the facilities
- Two facility types have the data downloads on their cost report page
  - Hospice
  - Renal Dialysis
  - Health Clinics
- CMS refreshes the download each quarter and replaces the download with the most current information.

### Fiscal Year



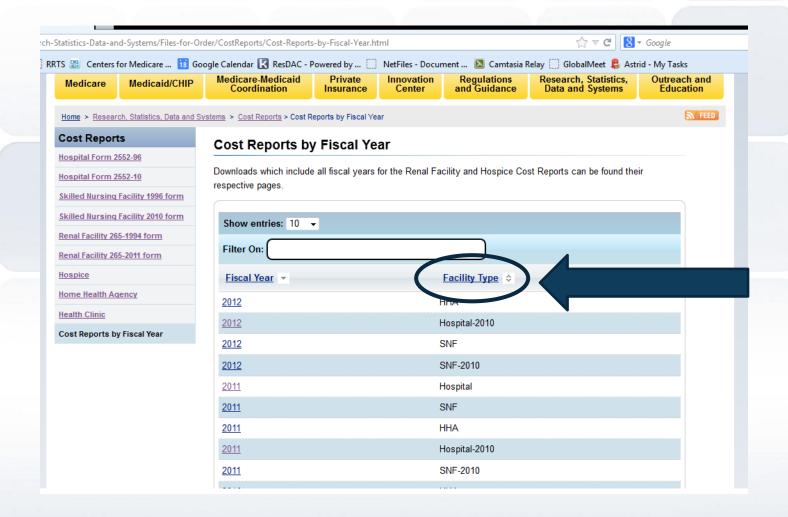
### Fiscal Year

- Fiscal Year is the Federal Fiscal Year (FFY)
- Most cost reports follow the September October
   Fiscal year
- Each provider can define their own fiscal year.
- CMS will either use the provider's FY Begin or End date to determine which FFY download the cost report will be placed in

## Fiscal Year

Facility Type	Definition
<ul> <li>Hospital</li> <li>SNF</li> <li>HHA</li> <li>Hospice</li> <li>Health Clinic/FQHC</li> </ul>	<ul> <li>Federal Fiscal Year between Oct YY – Sept YY+1</li> <li>FY Begin Date of the facility determines which FFY includes the cost report</li> <li>Example: Provider FY_BGN_DT = 7/1/2011-6/30/2012 would be found in FFY = 2012</li> </ul>
Renal Dialysis	<ul> <li>Federal Fiscal Year between Jan YY – Dec YY</li> <li>FY End Date of the facility determines which FFY includes the cost report</li> <li>Example: Provider FY_END_DT = 1/1/2011-12/31/2011 would be found in FFY = 2011</li> </ul>

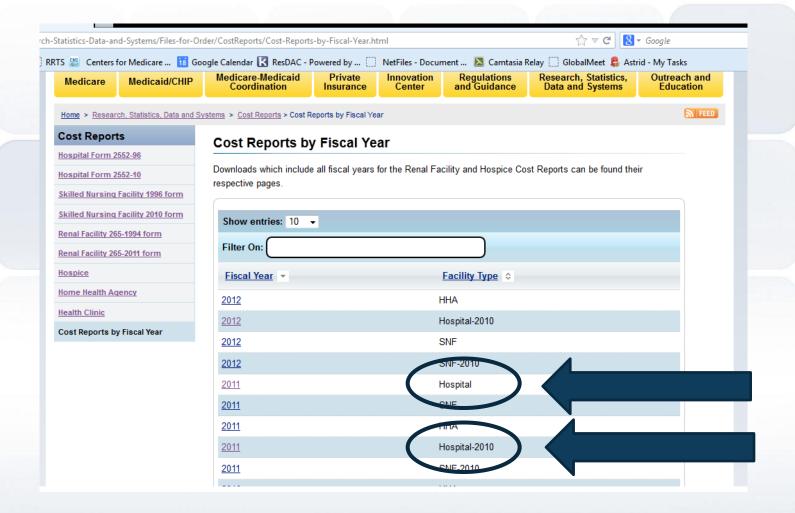
## Facility Type



## Facility Types

- Facility type denotes the free-standing facility submitting the cost report
- The free-standing facility will submit cost report information for all subproviders and components of their facility
  - A subprovider may include a swing-bed or other special unit that is not paid under Inpatient PPS.
  - A hospital component may include SNF, HHA, Hospice, for example, that are operated by the Hospital.
  - Example: If a hospital has Home Health Agencies (HHAs) as part of its facility, the HHA cost report information will be found within the Hospital cost report download, NOT the HHA download.

# Facility Type "...-2010"



## Facility Type with "...-201Y"

- For several facility types, more than one download exists for the same FFY
- Downloads that include "...-201Y" (i.e. Hospital-2010) indicate that the download includes cost reports submitted using the more recent form.
  - Example: "Hospital-2010" includes all hospital cost reports submitted using the new form (2552-10), whereas the "Hospital" download includes all hospital cost reports submitted using the old form (2552-96).

## Facility Type with "...-YYYY"

- Researchers need BOTH downloads in order to have the complete set of cost reports for a given FFY.
- CMS has changed the forms for the following facilities:
  - Hospitals in 2010
  - SNFs in 2010
  - Renal Dialysis in 2011
- Researcher should expect at least 2 years where you find 2 sets of downloads around the transition year

## **CMS Forms**

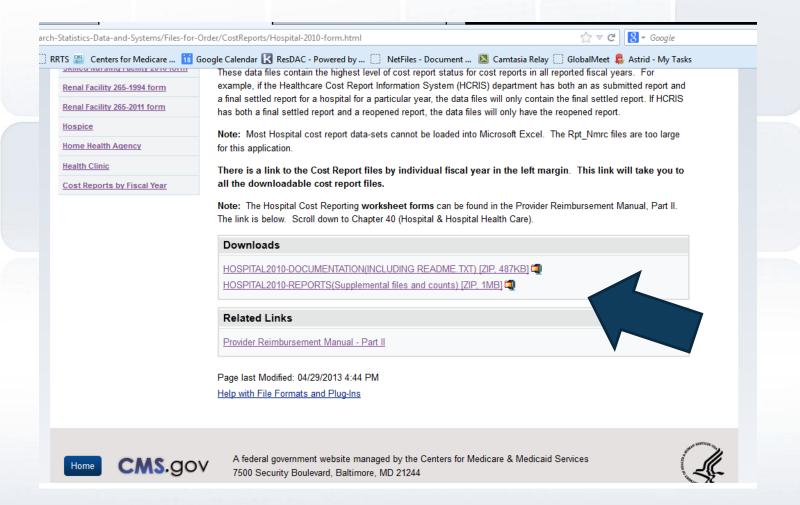
Form	Facility that Uses this Form	Effective Dates
2552- 96	Hospital and Healthcare Complexes	Begin dates between 9/30/96-4/30/10
2552- 10	Hospital and Healthcare Complexes	Begin dates on or after 5/1/10
2540- 96	Freestanding SNFs and SNF Health Care Complexes	Begin dates between 9/30/96- 11/30/96
2540- 10	Freestanding SNFs and SNF Health Care Complexes	Begin date on or after 12/1/2010
1728- 94	Freestanding HHAs	Begin dates on or after 9/30/94
265-94	Freestanding Renal Dialysis Facilities	End dates between 1/1/94-12/30/10
265-11	Freestanding Renal Dialysis Facilities	End dates on or after 1/1/2011
1984- 99	Freestanding Hospices	Begin dates on or after 9/30/99
222-92	Freestanding Health Clinics	Begin dates on or after 9/30/92

## Getting Ready To Download

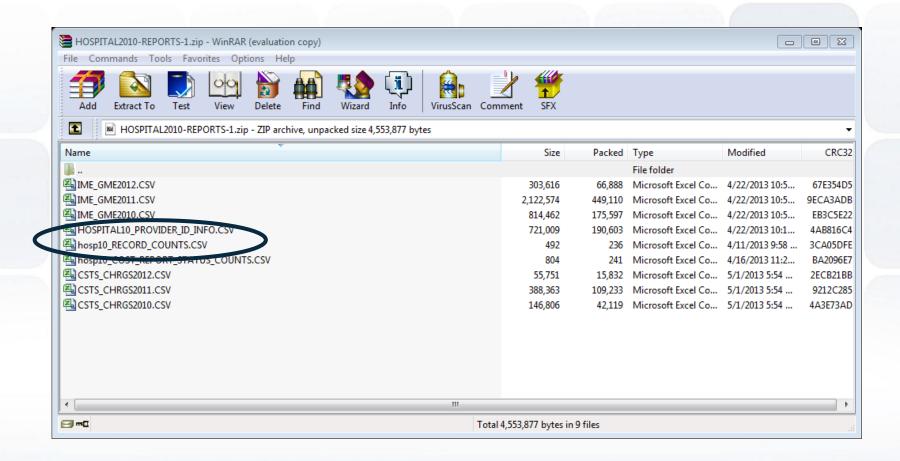
- Identify resource documents needed for the download
  - Record counts from the Reports Download
  - HCRIS Data Model in the Documentation Download
- Identify the space requirements for the download
  - Hospital is the largest
  - Typical download can be about 600 MB or more
- Identify the software to manipulate the data
  - SAS, SPSS
  - MS Access
  - MS SQL Server
  - DB2

## **CMS Cost Report Section**

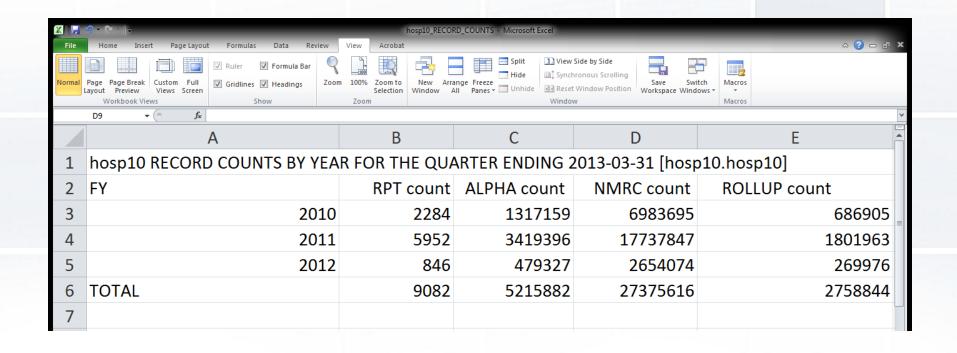
http://www.cms.gov/Research-Statistics-Data-and-Systems/Files-for-Order/CostReports/Hospital-2010-form.html



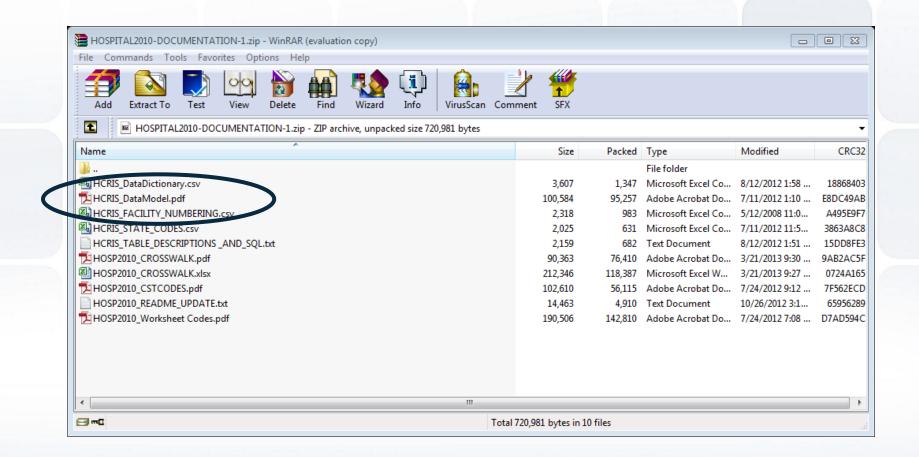
## Reports Download



## **Record Counts**



## **Documentation Download**



### **HCRIS Data Model**

#### HCRIS Public Use File (PUF) Relational Model

#### Report table

- RPT\_REC\_NUM: NUMBER (PK)
- PRVDR\_CTRL\_TYPE\_CD : CHAR(2),
- PRVDR\_NUM : CHAR(6),
- NPI: NUMBER,
- RPT\_STUS\_CD : CHAR(1),
- FY\_BGN\_DT : DATE,
- FY\_END\_DT : DATE,
- PROC\_DT : DATE,
- INITL\_RPT\_SW : CHAR(1),
- LAST\_RPT\_SW : CHAR(1),
- TRNSMTL\_NUM : CHAR(2),
- FI\_NUM : CHAR(5),
- ADR\_VNDR\_CD : CHAR(1),
- FI\_CREAT\_DT : DATE,
- UTIL\_CD : CHAR(1),
- NPR\_DT : DATE,
- SPEC\_IND : CHAR(1),
- FI\_RCPT\_DT : DATE

#### Numeric table

- RPT\_REC\_NUM : NUMBER (FK)
- WKSHT\_CD : CHAR(7)
- LINE\_NUM : CHAR(5)
- CLMN\_NUM : CHAR(4) \*
- ITM\_VAL\_NUM: NUMBER

#### Alpha-numeric table

- RPT\_REC\_NUM : NUMBER (FK)
- WKSHT\_CD : CHAR(7)
- LINE\_NUM : CHAR(5)
- CLMN\_NUM : CHAR(4) \*
- ITM\_VAL\_NUM: NUMBER

#### Rollup table †

- RPT\_REC\_NUM : NUMBER (FK)
- LABEL : CHAR(30)
- ITEM: NUMBER
- \* CLMN\_NUM is length 5 in HOSP10
- † Only available for HHA, SNF and Hospital

As of 7/11/2012

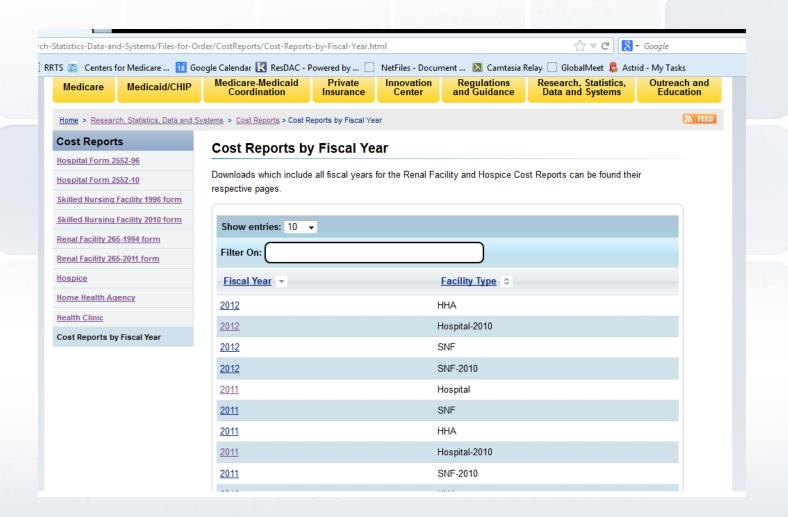
## **HCRIS Data Model**

- Relational database
- Use the data model to identify the variable positions and type
- Identify the linking key across all files (RPT\_REC\_NUM)

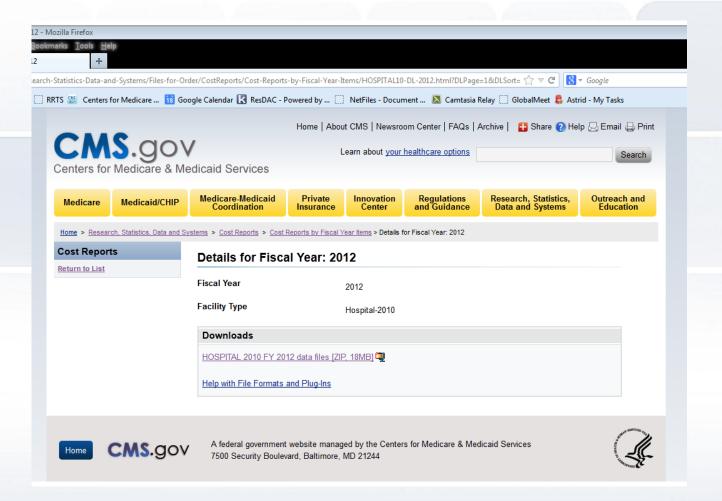
## Download & Link Data Files

## CMS Cost Report Download Page

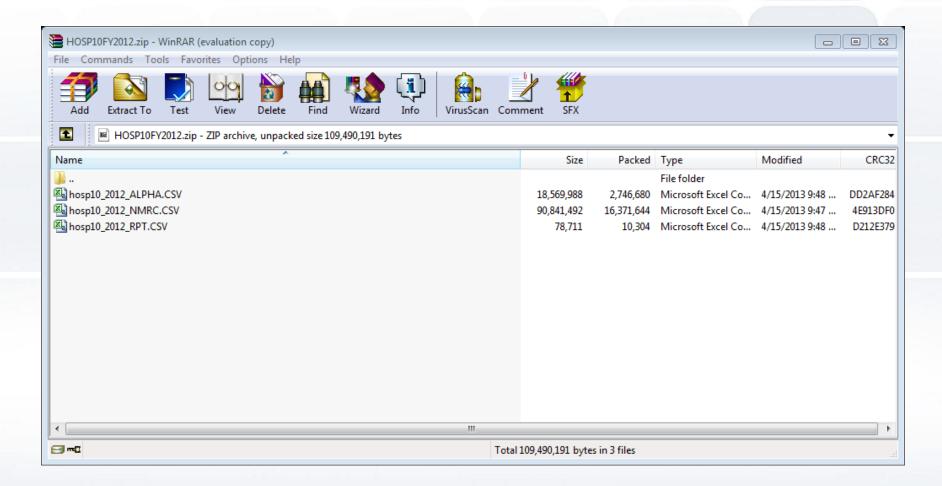
http://www.cms.gov/Research-Statistics-Data-and-Systems/Files-for-Order/CostReports/Cost-Reports-by-Fiscal-Year.html



## Hospital Cost Report Download



## Hospital Cost Report FY 2012 Data Files



### **Download File Contents**

- All Facility types will have the following data files:
- [Facility type]\_[Federal Fiscal Year]\_RPT.csv
- [Facility type]\_[Federal Fiscal Year]\_ALPHA.csv
- [Facility type]\_[Federal Fiscal Year]\_NMRC.csv

- Some facility types may have the following file:
- [Facility type]\_[Federal Fiscal Year]\_ROLLUP.csv
  - This was a file containing summed values. CMS no longer produces this file.

## Description of RPT file

 Report file containing information about the cost report submitted.

```
hosp10_2012_RPT - Notepad
File Edit Format View Help
4253,4,312018,,1,11/01/2011,12/31/2011,08/13/2012,N,N,A,12001,3,07/13/2012,F,,,05/31/2012
6190,6,454114,,1,10/21/2011,12/31/2011,08/13/2012,N,N,A,04301,4,07/05/2012,F,,,05/31/2012
6259,2,500152,,1,11/09/2011,12/31/2011,08/13/2012,N,N,A,03001,4,07/24/2012,F,,,06/01/2012
7066,4,140118,,1,01/01/2012,02/29/2012,08/13/2012,N,N,A,00131,4,08/03/2012,F,,,07/30/2012
7080,2,110050,,1,10/01/2011,01/31/2012,08/13/2012,N,N,A,10201,3,08/01/2012,F,,,07/05/2012
7214,2,490077,1,10/01/2011,12/31/2011,08/14/2012,N,N,A,11001,4,06/18/2012,F,,,06/01/2012
7716,4,330409,.2,10/17/2011,12/31/2011,08/28/2012,N,N,A,00130,4,08/28/2012,L,08/20/2012,,06/18/2012
7912,1,170006,1,10/01/2011,03/31/2012,09/12/2012,N,N,A,05001,4,09/12/2012,F,,,08/31/2012
7923,1,170142,,1,10/01/2011,03/31/2012,09/13/2012,N,N,A,05001,4,09/13/2012,F...08/31/2012
7952,2,150178,,2,01/01/2012,03/23/2012,09/17/2012,N,Y,A,08101,4,09/17/2012,N,09/17/2012,,08/31/2012
8112,6,360356,,1,01/01/2012,03/14/2012,09/21/2012,N,N,A,15201,4,08/29/2012,F,,,08/27/2012
8691,4,390313,,1,01/01/2012,04/19/2012,10/26/2012,N,N,A,12001,4,10/08/2012,F,,,10/04/2012
8713,11,450234.,1,01/01/2012,03/31/2012,10/26/2012,N,N,A,04101,4,09/14/2012,F,,,09/04/2012
8858,2,100030,1,10/01/2011,03/31/2012,10/26/2012,N,N,A,09001,4,10/16/2012,F,,,09/28/2012
8936,4,111330,,1,10/01/2011,03/31/2012,10/29/2012,N,N,A,52280,4,10/02/2012,F,,,09/04/2012
9010.6.360269.1.01/01/2012.03/01/2012.10/31/2012.N,N,A,15201,4,10/24/2012.F,,,10/05/2012
9095,2,110050,,1,02/01/2012,03/31/2012,11/05/2012,N,N,A,10201,3,11/05/2012,F,,,09/05/2012
9287,8,424013,.2,10/11/2011,06/30/2012,11/13/2012,N,N,A,11001,4,11/09/2012,N,11/08/2012,,10/30/2012
9374,6,452116,,1,01/01/2012,05/31/2012,11/16/2012,N,N,A,04011,4,11/05/2012,F,.,11/01/2012
```

## Description of RPT file

- The Report file contains one record for each cost report.
- Some of the data elements found in this file include:
  - Provider number
  - Provider's fiscal year begin and end dates
  - Cost report status
  - Ownership status

## Description of ALPHA file

```
hosp10 2012 ALPHA - Notepad
File Edit Format View Help
4253,A000000,00100,00000,00100CAP REL COSTS-BLDG & FIXT
4253,A000000,00200,00000,00200CAP REL COSTS-MVBLE EQUIP
4253,A000000,00300,00000,003000THER CAPITAL RELATED COSTS
4253,A000000,00400,00000,00400EMPLOYEE BENEFITS
4253,A000000,00500,00000,00500ADMINISTRATIVE & GENERAL
4253,A000000,00600,00000,00600MAINTENANCE & REPAIRS
4253,A000000,00700,00000,007000PERATION OF PLANT
4253,A000000,00800,00000,00800LAUNDRY & LINEN SERVICE
4253,A000000,00900,00000,00900HOUSEKEEPING
4253.A000000.01000,00000,01000DIETARY
4253,A000000,01100,00000,01100CAFETERIA
4253,A000000,01200,00000,01200MAINTENANCE OF PERSONNEL
4253.A000000.01300.00000.01300NURSING ADMINISTRATION
4253.A000000.01400.00000.01400CENTRAL SERVICES & SUPPLY
4253,A000000,01500,00000,01500PHARMACY
4253,A000000,01600,00000,01600MEDICAL RECORDS & LIBRARY
4253,A000000,01700,00000,01700SOCIAL SERVICE
4253,A000000,01900,00000,01900NONPHYSICIAN ANESTHETISTS
4253,A000000,02000,00000,02000NURSING SCHOOL
4253,A000000,02100,00000,021001&R SRVCES-SALARY & FRINGES APP
4253,A000000,02200,00000,022001&R SRVCES-OTHER PRGM COSTS APP
4253,A000000,02300,00000,02300PARAMED ED PRGM-(SPECIFY)
4253,A000000,03000,00000,03000ADULTS & PEDIATRICS
4253,A000000,05400,00000,05400RADIOLOGY-DIAGNOSTIC
4253,A000000,06000,00000,06000LABORATORY
4253,A000000,06001,00000,06001BLOOD LABORATORY
4253,A000000,06230,00000,06250BLOOD CLOTTING FOR HEMOPHILIACS
4253.A000000.06500.00000.06500RESPTRATORY THERAPY
```

## Description of ALPHA file

- The Alphanumeric file contains one record for each cost report variable.
- File contains only alphanumeric variable types
- Some of the data elements found in this file include:
  - Hospital Name
  - Provider number
  - Yes/No variables

## Description of NMRC file

```
hosp10 2012 NMRC - Notepad
File Edit Format View Help
4253,A000000,00100,00200,71227
4253,A000000,00100,00300,71227
4253,A000000,00100,00500,71227
4253,A000000,00100,00700,71227
4253,A000000,00200,00200,1334
4253,A000000,00200.00300.1334
4253,A000000,00200,00500,1334
4253,A000000,00200,00700,1334
4253,A000000,00400,00200,111987
4253,A000000,00400,00300,111987
4253,A000000,00400,00400,-4442
4253,A000000,00400,00500,107545
4253,A000000,00400,00700,107545
4253,A000000,00500,00100,153960
4253,A000000,00500,00200,203404
4253,A000000,00500,00300,357364
4253,A000000,00500,00400,-43747
4253,A000000,00500,00500,313617
4253,A000000,00500,00600,-27276
4253,A000000,00500,00700,286341
4253,A000000,00700,00200,34277
4253,A000000,00700,00300,34277
4253,A000000,00700,00500,34277
4253,A000000,00700,00700,34277
4253,A000000,00800,00200,7884
4253,A000000,00800,00300,7884
```

## Description of NMRC file

- The Numeric file contains one record for each cost report variable.
- File contains only numeric variable types
- Some of the data elements found in this file include:
  - Number of beds
  - Number of discharges
  - Net income

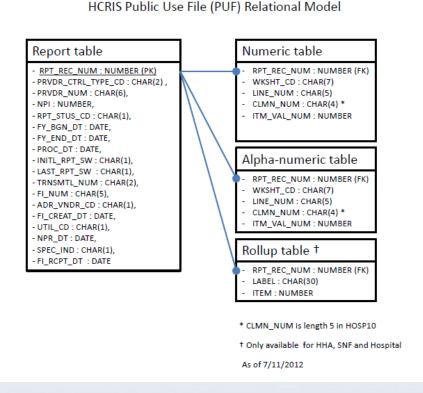
## Verify records

 Use the hospital record counts file to verify that all files loaded properly.

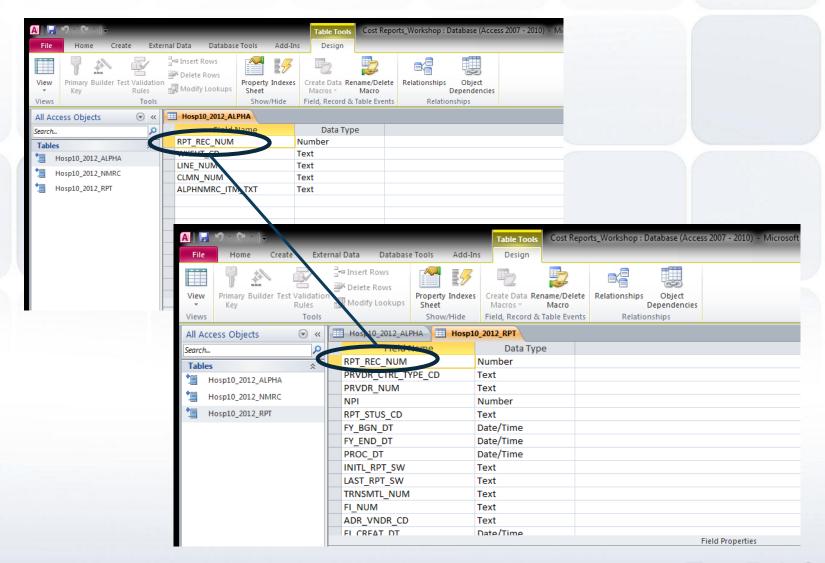
	۸	n	C D	-	F	-	Н	4		A	В	С	D	E
22 51	A	В		E		G 0/20/2012			•	RD COUNTS BY YEA				
	15275		110032	1		9/30/2012			FY		RPT count	ALPHA count	NMRC count	ROLLUP count
			110038	1	10/1/2011	9/30/2012	3/27/2013	3		2010		1317159	6983695	686905
			110091	1	10/1/2011	9/30/2012	3/27/2013	4		2011	5952	3419396	17737847	180196
		-	110105	1	10/1/2011		3/27/2013	3		17	846	479327	2654074	26997
			110150	1	10/1/2011	9/30/2012	3/27/2013	6	TOTAL		9082	5215882	27375616	275884
			111303	1	10/1/2011		3/27/2013	7						
			111332	1	10/1/2011	9/30/2012	3/27/2013		В			3/4/2013		
			251318	1		9/30/2012		_	\	F		3/18/2013		
			191306	1	11/1/2011		3/28/2013			3/27/2013 F ▼		3/26/2013		
			450746	1		9/30/2012			-	3/27/2013 F		3/18/2013		
			453300	1	10/1/2011		3/28/2013			3/27/2013 F		3/27/2013		
			521315	1	10/1/2011					3/19/2013 F		3/4/2013		
			521358	1		9/30/2012				3/19/2013 F		3/5/2013		
			261327	1		10/31/2012	3/28/2013			3/27/2013 F		3/11/2013		
			220110	1		9/30/2012			B 14001 4	3/26/2013 F		3/1/2013		
			220162	1	10/1/2011	9/30/2012			B 14001 4	3/22/2013 F		2/27/2013		
38 51	15322	2	221303	1	10/1/2011	9/30/2012	3/28/2013	N N	B 14001 4	3/27/2013 F		2/28/2013		
39 51	15323	4	222047	1	10/1/2011	9/30/2012			B 14001 4	3/13/2013 F		3/6/2013		
40 51	15324	2	223032	1	10/1/2011	9/30/2012			B 14001 4	3/26/2013 F		3/1/2013		
41 51	15325	2	223302	1	10/1/2011	30/2012	3/28/2013	N N	B 14001 4	3/13/2013 F		3/4/2013		
42 51	15326	2	410007	1		30/2012	3/28/2013	N N	B 14001 4	3/13/2013 F		2/28/2013		
43 51	15330	4	17133			0/2012	3/29/2013	N N	B 5001 4	3/29/2013 F		3/18/2013		
44 51	15333	2	70			5/30/2012	3/29/2013	N N	B 13101 4	3/26/2013 F		3/4/2013		
45	15334	2	27		10/1/2011	9/30/2012	3/29/2013	N N	B 14001 4	3/26/2013 F		3/1/2013		
46 51	1. 335	4	224035	1	10/1/2011	9/30/2012	3/29/2013	N N	B 14001 4	3/20/2013 F		3/6/2013		
	) II	osi	010 2012 RPT	*	/									
ady	10													

### Load Data and Link Files

 Use HCRIS Data Model to set up the data files for the software you will use to manipulate the data



## Load Data and Link Files



### Next...

 Download exercise that will go through this step by step.