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CODEBOOK:

Medicare Part D Beneficiary Plan Election and Auto-Assignment Files

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Revision Log

Date	Changed by	Revisions	Version
October 2021	D. Happe	Put on the 2020 template	1.3
February 2019	K. Schneider	Added value ("N") for ENRLMT_TYPE_CD	1.2
August 2018	K. Schneider	Adjusted data source; description of Audit sequence number (AUDT_SQNC_NUM)	1.1
October 2017	K. Schneider C. Alleman	Initial release of codebook for PTD Beneficiary Plan Election and Auto-Assignment files	1.0

Tips on Navigating the Codebook

This document is a detailed codebook that describes each variable in the Medicare Part D Beneficiary Plan Election and Auto-Assignment research files. Because the files have many variables, we have included several ways for users to quickly find the information they need:

- A complete listing of all variables in the files, in alphabetical order based on their SAS variable names.
- Individual entries for each variable that contain a short description of the variable, the possible values for the variable, and, in many cases, notes that discuss how the variable was constructed and should be used.

We have included hyperlinks throughout the codebook to make it easier for analysts to navigate between the table of contents and the detailed entries for the individual variables:

- Clicking on any variable name in the Table of Contents will take you to the detailed description for that variable.
- From the detailed description for any individual variable, clicking on the [^Back to TOC^](#) link after each variable description will take you back to the Table of Contents.

Table of Contents

This section of the codebook contains a list of all variables in alphabetical order based on the SAS variable name.

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Variable Details

This section of the codebook contains one entry for each variable in Medicare Part D Beneficiary Plan Election and Auto-Assignment file. Each entry contains variable details to facilitate understanding and use of the variables.

APLCTN_DT

LABEL: Part D Plan application date

DESCRIPTION: This variable is the date the Part D plan sponsor received the election form from the beneficiary.

TYPE: DATE

LENGTH: 8

SOURCE: CMS Common Medicare Environment (CME)

FILE(S): Part D Beneficiary Plan Election file

VALUES: Date value formatted as: DDMONYYYY

COMMENT: —

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AUDT_SQNC_NUM

LABEL:	Part D Enrollment Transaction Audit Sequence Number
DESCRIPTION:	This variable is the Medicare Part D Enrollment Transaction Audit Sequence Number. The number indicates whether the occurrence has been audited. It will default to 0 (zero) for valid occurrences and will be incremented by 1 for audited rows with the same key fields.
TYPE:	NUM
LENGTH:	3
SOURCE:	CMS Common Medicare Environment (CME)
FILE(S):	Part D Beneficiary Plan Election file
VALUES:	0 = valid (effective) transaction 1–X = audited records
COMMENT:	While a beneficiary can only be enrolled in one Part D plan during a given time period there may be more than one enrollment transaction with an audit sequence number of zero for that time period. This indicates that a valid transaction has been replaced with another valid transaction. For example, if a beneficiary was auto assigned to a plan but then picked a different plan.

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AUTO_ASSIGNED_FLAG

LABEL:	Part D Plan Auto Assignment Indicator
DESCRIPTION:	This variable Indicates that the beneficiary had one or more Part D enrollment transactions that span the reference year where the Enrollment Type Code indicates auto-assignment or re-assignment (Enrollment Type Code of A or H) regardless of whether they opted-out of the plan they were assigned to.
TYPE:	CHAR
LENGTH:	1
SOURCE:	CMS Common Medicare Environment (CME) — derived
FILE(S):	Part D Beneficiary Auto-Assignment file
VALUES:	Y = yes, auto-assigned to the Part D Plan Null/missing
COMMENT:	The Enrollment Type Code variable (SAS name is ENRLMT_TYPE_CD) appears in the Part D Beneficiary Plan Election file.

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BENE_ID

LABEL: Encrypted CCW Beneficiary ID

DESCRIPTION: The unique CCW identifier for a beneficiary. The CCW assigns a unique beneficiary identification number to each individual who receives Medicare and/or Medicaid, and uses that number to identify an individual's records in all CCW data files (e.g., Medicare claims, MAX claims, MDS assessment data).

This number does not change during a beneficiary's lifetime and each number is used only once.

The BENE_ID is specific to the CCW and is not applicable to any other identification system or data source.

TYPE: CHAR

LENGTH: 15

SOURCE: CCW

FILE(S): Part D Beneficiary Plan Election file
Part D Beneficiary Auto-Assignment file

VALUES: —

COMMENT: —

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CHOOSE

LABEL:	Part D Plan Self Selection Indicator
DESCRIPTION:	This variable indicates that the beneficiary had one or more Part D enrollment transactions that span the reference year where the Enrollment Type Code indicates that the beneficiary made the plan selection (Enrollment Type Code of B or L).
TYPE:	CHAR
LENGTH:	1
SOURCE:	CMS Common Medicare Environment (CME) — derived
FILE(S):	Part D Beneficiary Auto-Assignment file
VALUES:	Y = yes, the beneficiary elected the Part D Plan Null/missing
COMMENT:	The Enrollment Type Code variable (SAS name is ENRLMT_TYPE_CD) appears in the Part D Beneficiary Plan Election file.

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CONTRACT_ID

LABEL: Part D Contract Number

DESCRIPTION: This variable is the Medicare Part D contract number for the beneficiary's Part D plan.

CMS assigns an identifier to each contract that a Part D plan has with CMS.

TYPE: CHAR

LENGTH: 5

SOURCE: CMS Common Medicare Environment (CME)

FILE(S): Part D Beneficiary Plan Election file

VALUES: XXXXX

COMMENT: —

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CRNT_PREV_YR_CHOOSER

LABEL:	Part D Plan Self Selection in Current or Previous Year Indicator
DESCRIPTION:	This variable indicates whether the plan choice was in the current or previous year. For beneficiaries where the variable CHOOSER = "Y", this variable indicates whether the beneficiary made their Part D plan self-selection in the current year or the previous year.
TYPE:	CHAR
LENGTH:	1
SOURCE:	CMS Common Medicare Environment (CME) — derived
FILE(S):	Part D Beneficiary Auto-Assignment file
VALUES:	C = Part D plan election in the reference year P = Part D plan election in the year prior to the reference year Null/missing = Beneficiary is not a chooser in the reference year
COMMENT:	—

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DISENRLMNT_DT

LABEL: Beneficiary Part D Plan Disenrollment Date

DESCRIPTION: This variable is the date the beneficiary disenrolled in the Part D Plan, if applicable

TYPE: DATE

LENGTH: 8

SOURCE: CMS Common Medicare Environment (CME)

FILE(S): Part D Beneficiary Plan Election file

VALUES: Date value formatted as: DDMONYYYY
Null/missing if the plan remained active; if beneficiary did not disenroll from the plan.

COMMENT: —

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ENRLMT_EFCTV_DT

LABEL: Beneficiary Part D Plan Enrollment Effective Date

DESCRIPTION: This variable is the date that Part D coverage began for the beneficiary.

TYPE: DATE

LENGTH: 8

SOURCE: CMS Common Medicare Environment (CME)

FILE(S): Part D Beneficiary Plan Election file

VALUES: Date value formatted as: DDMONYYYY

COMMENT: —

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ENRLMT_TYPE_CD

LABEL:	Beneficiary Part D Plan Enrollment Type Code
DESCRIPTION:	This variable contains the enrollment type code, which is used to identify the source/mechanism of the Part D enrollment
TYPE:	CHAR
LENGTH:	1
SOURCE:	CMS Common Medicare Environment (CME)
FILE(S):	Part D Beneficiary Plan Election file
VALUES:	A = Part D Auto Enrolled by CMS B = Beneficiary Election (Beneficiary Made Plan Choice) C = Part D Facilitated Enrollment by CMS D = System Generated Enrollment (Rollover) E = Plan Submitted Auto Enrollment F = Plan Submitted Facilitated Enrollment G = Point of Sale (POS) submitted enrollment (i.e., pharmacy enrolled beneficiary in a LINET plan) H = CMS or Plan Submitted Re-assignment Enrollment I = Assigned to Plan Submitted Transactions with Enrollment Source Other than any of the Following: B, E, F, G, H and blank J = State Submitted Passive Enrollment K = CMS Submitted Passive Enrollment L = Financial Alignment (FA) Demonstration Beneficiary Election N = Rollover by Plan Transaction Missing/null = unknown
COMMENT:	—

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LIS_MONTHS

LABEL: Part D Low Income Subsidy (LIS) Months

DESCRIPTION: This variable is the number of months in the reference year that the beneficiary was determined to be eligible for a Part D premium low-income subsidy (LIS)

TYPE: NUM

LENGTH: 2

SOURCE: CMS Common Medicare Environment (CME) — derived

FILE(S): Part D Beneficiary Auto-Assignment file

VALUES: 0–12

COMMENT: The Medicare Modernization Act of 2003 (MMA) mandated that CMS establish the Low-Income Subsidy (LIS) program for the Medicare Part D benefit, which provides subsidies that reduce or eliminate Part D premiums and deductibles and offers zero or reduced co-payments for low-income beneficiaries.

Beneficiaries who are eligible for the full LIS subsidy will pay zero premiums if they are enrolled in an at- or below-benchmark plan. If they enroll in above-benchmark plans, they are responsible for paying the amount of the premium above the benchmark. Because benchmarks are recalculated on an annual basis, some plans may be at or below the benchmark in one year but not in the following year.

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PLAN_ID

LABEL: Part D Plan Benefit Package Identifier

DESCRIPTION: This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS.

This field is a key that links Part D sponsor's contract and plan identifiers.

TYPE: CHAR

LENGTH: 3

SOURCE: CMS Common Medicare Environment (CME)

FILE(S): Part D Beneficiary Plan Election file

VALUES: 3-digit numeric value

COMMENT: You need to know both the Part D contract number (variable called CONTRACT_ID) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

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REASSIGN_JAN

LABEL:	Beneficiary Newly Reassigned for January Plan
DESCRIPTION:	This variable indicates whether the plan reassignment occurred in January of the reference year. This variable is populated only if the beneficiary was newly reassigned for their January plan, regardless if they opted out of the re-assignment. This flag resets yearly.
TYPE:	CHAR
LENGTH:	1
SOURCE:	CMS Common Medicare Environment (CME) — derived
FILE(S):	Part D Beneficiary Auto-Assignment file
VALUES:	Y = Yes, beneficiary was reassigned to the Part D Plan at the beginning of the year Null/missing = Beneficiary was not reassigned in January of the reference year
COMMENT:	—

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REF_YEAR

LABEL: The Part D plan enrollment year

DESCRIPTION: Year of Part D plan enrollment. The data files are partitioned into calendar year files.

TYPE: NUM

LENGTH: 4

SOURCE: CCW (derived)

FILE(S): Part D Beneficiary Plan Election file
Part D Beneficiary Auto-Assignment file

VALUES: 2007 +

COMMENT: —

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